

TO: Anahi Cruz, Esq.

Barry R. Lax, Esq.

From: Cheryl S Abuan

Senior Case Specialist

Subject: FINRA Dispute Resolution Services Arbitration Number 24-02157

Jeffrey A. Dewees, Luke Roy Mckelvy, William A. Schellenberg, et al. vs. Merrill Lynch

Pierce Fenner & Smith Inc.

Date: December 18, 2024

This office is in receipt of the parties' submissions relative to Respondent's Motion to Stay dated December 2, 2024.

Absent the parties' written agreement to stay, or a court order, this matter will proceed in accordance with FINRA's Code of Arbitration Procedure. However, FINRA will refer this issue to the duly appointed panel for further consideration.

If you have any questions, please do not hesitate to contact me at 213-613-2664 or by email at Cheryl.Abuan@finra.org.

CSA:csa:LC53A idr: 02/18/2020

## **RECIPIENTS:**

Anahi Cruz, Esq., Morgan, Lewis & Bockius LLP, 300 South Grand Avenue, Twenty-Second Floor, Los Angeles, CA 90071

On Behalf Of: Merrill Lynch Pierce Fenner & Smith Inc.

Barry R. Lax, Esq., Lax & Neville LLP, 350 Fifth Avenue, Suite 4640, New York, NY 10118 On Behalf Of: Jeffrey A. Dewees; Alon Haim; Luke Roy McKelvy; Matthew D. Mendoza; William A. Schellenberg; Jay Tamkoc; Reinhold Wigand

